# Galaxy formation and evolution

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#### Stellar halos and stellar streams





#### Martínez-Delgado+ 2010

#### The "m-sigma" relation

A correlation between the bulge mass and the black hole



#### Lectures 1 & 2

#### Two approaches to study the formation and evolution of galaxies



#### Lecture 2

- Cosmology, structure formation & galaxies
- The physics of galaxy formation
- Numerical Simulations
  - Physical processes
- Results of Simulations
  - The formation of Spiral galaxies
  - Galaxy evolution & merger history
  - Environmental effects

In a theoretical context, a galaxy is more than a collection of stars



- The formation of dark matter haloes & galaxies in ACDM is:
  - Highly non-linear
  - Multi-scale
  - Free from any simplifying symmetry
- We need simulations!

Dark matter haloes result from the gravitational amplification of initial density perturbations



Dark matter haloes result from the gravitational amplification of initial density perturbations



#### Ingredients of simulations

- Initial (and boundary) conditions
  - Set by the processes taking place in early Universe
  - The initial conditions of galaxy formation are known!!



Frequency [1/cm]

CMB spectrum:

- $\rightarrow$  isotropic to 1 part in 10000, fluctuations  $\Delta T/T \sim 10^{-5}$
- $\rightarrow$  has a perfect blackbody spectrum, T=2.73 K
- $\rightarrow$  spectrum at recombination, 300000 years after Big Bang

#### Ingredients of simulations

- Initial (and boundary) conditions
- Physical processes which drive evolution
  - Evolution of dark matter driven by gravitational forces
    - Raúl Angulo's lectures: DM-only, very large volume simulations
  - To study galaxy formation, we need to simulate the evolution of the gas
    - Much more complex, need to solve the Euler equations
    - Still need to simulate relative large volumes, as galaxies and their haloes are affected by the formation of structure at large scales
    - Need to cover a huge dynamical range

#### Hydrodynamical simulations



Need to cover huge dynamical range

>20 orders of magnitude in size!

- Size of the Universe ~ 3 Gpc ~ 10<sup>9</sup> pc
- Size of stars ~ 10<sup>-12</sup> pc

For typical galaxies

- Dark matter halo ~ 10<sup>2</sup> kpc
- Influence region ~ 10 Mpc
- Separation between stars ~ 1 pc
  - $\rightarrow$  Still > 7 orders of magnitude!



Need to cover huge dynamical range

> 4 orders of magnitude in time:

- Time of the Universe ~ 10<sup>10</sup> yr
- Life-time of stars ~10<sup>6</sup> yr

## Zoom-in simulations

- Run a large-volume, dark-matter only cosmological simulation up to z=0
- Select object of interest (e.g. a quiet galaxy)
- Create a new initial condition, concentrating resolution in a "high-resolution region", and add gas component
- Run simulation: dark matter + baryons





• Discretize matter in terms of particles and follow their trajectories

Two ways of discretizing a continuous distribution

Eulerian Discretize space (volume elements)



Equations governing flow of physical quantities (mass, momentum, energy) through cell boundaries Lagrangian Discretize mass (particles)

Equations governing evolution of physical properties (density, momentum, energy) of particles

- Discretize matter in terms of particles and follow their trajectories
  Create initial condition:
  - Create initial condition:

•

- N<sub>DM</sub> dark matter particles, N<sub>gas</sub> gas particles
- Solve equations that govern the motion of the particles
  - DM/stars: collisionless  $\rightarrow$  only gravity
  - Gas: collisional  $\rightarrow$  gravity + hydrodynamics
  - Sub-grid physical modules: for important processes that are not resolved, we mimic their effects at the resolved scales
- Solve equations in expanding Universe assuming the standard cosmology

To solve the hydrodynamical equations we use the SPH technique (SPH: Smoothed Particly Hydrodynamics)

Discrete approximation of a continuous fluid

Generalize the  $\delta$  function  $\rightarrow$  smoothing kernel W  $f(\mathbf{r}) \approx \sum_{i} \frac{m_i}{\rho_i} f(\mathbf{r}_i) W(\mathbf{r} - \mathbf{r}_i, \mathbf{h})$ 

Write the discretized fluid equations + equation of state

$$\frac{\partial \rho}{\partial t} + \nabla \cdot (\rho \mathbf{v}) = 0$$
$$\frac{\mathrm{d}v}{\mathrm{d}t} = -\frac{\nabla P}{\rho} - \nabla \Phi$$

 $\frac{\partial u}{\partial t} + \nabla \cdot \left[ (u+P)\mathbf{v} \right] = 0$ 

For each particle j , and I are the "neighbours"

$$\frac{\mathrm{d}\rho_j}{\mathrm{d}t} = -\rho_j \nabla_j \cdot \mathbf{v}_j$$
$$\frac{\mathrm{d}\mathbf{v}_j}{\mathrm{d}t} = -\sum_i m_i \left(\frac{P_j}{\rho_j^2} + \frac{P_i}{\rho_i^2}\right) \nabla_j W_{ji}$$
$$\frac{\mathrm{d}u_j}{\mathrm{d}t} = \frac{P_j}{\rho_i^2} \sum_i m_i \left(\mathbf{v}_j - \mathbf{v}_i\right) \cdot \nabla_i W_{ji}$$

 $f(\mathbf{r}) = \int f(\mathbf{r}') \, \delta(\mathbf{r} - \mathbf{r}') \, \mathrm{d}\mathbf{r}'$ 

To solve the hydrodynamical equations we use the SPH technique (SPH: Smoothed Particly Hydrodynamics)

Evolution in an expanding universe, with x: comoving coordinate

$$\ddot{\mathbf{x}}_i + 2\frac{\dot{a}}{a}\dot{\mathbf{x}}_i = -\frac{G}{a^3}\sum_{\substack{j\neq i\\ \text{periodic}}}\frac{m_j\left(\mathbf{x}_i - \mathbf{x}_j\right)}{|\mathbf{x}_i - \mathbf{x}_j|^3}$$

and for periodic boundary conditions

+ implementation of cooling, etc

+ time integration, parallelization and (many more!) technical issues

#### A typical simulation of galaxy formation

- A box of ~50-100 Mpc side length
- Spatial resolution of ~ 10-100 pc
- Time resolution of ~10<sup>6</sup> yr



#### Note:

dark matter particles in the simulation are not dark matter particles DM particles ~  $10^{4-5}$  M<sub> $\odot$ </sub> in the simulations!

star particles in the simulation are not individual stars Star particles ~  $10^{3-4}$  M<sub> $\odot$ </sub> in the simulations!

The formation of a galaxy in the standard cosmological paradigm can be illustrated as follows:

- Collapse and virialization of dark matter haloes
- Cooling and condensation of gas within the halos



- Evolving stars and active galactic nuclei eject energy, mass and heavy elements into the interstellar medium
- Evolution due to mergers/interactions with other structures which reshape morphologies and trigger further starbursts and AGN activity

 $\rightarrow$ 

• Gravity

dark matter halo formation



Gravity

- → dark matter halo formation
- Cooling → gas condensation within halos



Gravity → dark matter halo formation

 $\rightarrow$ 

- Cooling → gas condensation within halos
- Star formation

from high-density, cold gas



 $\rightarrow$ 

 $\rightarrow$ 

 $\rightarrow$ 

 $\rightarrow$ 

- Gravity
- Cooling
- Star formation
- "Feedback"

- dark matter halo formation
- gas condensation within halos
- from high-density, cold gas
  - return of chemical elements and/or energy to the interstellar medium



- Gravity
- Cooling
- Star formation
- "Feedback"



### Galaxy formation

Flow-chart of processes affecting galaxy formation

Shows our current, incomplete view of galaxy formation!



 Collapse and virialization of dark matter (DM) halos: in the standard Λ-CDMc cosmology, DM halos form hierarchically

Small systems assemble first, larger systems result from mass accretion and mergers

Mergers are more frequent and violent at high redshift (i.e. early times)



- Cooling and condensation of gas within the DM halos
  - Cooling is a crucial ingredient in galaxy formation:
  - Gas cools by various processes, with an efficiency that depends on the temperature, the density and the chemical composition.
  - At "low" temperatures, most cooling happens because particles collide and use their kinetic energy to excite or even ioniza atoms. The atoms subsequently recombine or de-excite, emitting radiation which carries enery away from the system.
  - Most of the gas in the Universe is hydrogen, which cools most efficiently at T ~10<sup>4</sup> K. At higher temperatures, helium dominates the cooling, as well as various metals depending on their ionization state and density.
  - At very high temperatures (T>10<sup>7</sup>K), the gas is highly ionized and cooling happens mainly via bremsstrahlung (i.e. deceleration of charged particles by other charged particles).

- Cooling and condensation of gas within the DM halos
  - Cooling is a crucial ingredient in galaxy formation:
  - Gas cools by various processes, with an efficiency that depends on the temperature, the density and the chemical composition.
  - In simulations, we use pre-tabulated cooling tables



Star formation

 As the gas cools down within the dark matter halo, it reaches sufficiently high densities, fragmenting and forming stars

The "Kennicutt-Schmidt" law

Tight correlation between star formation rate density (stellar mass formed per unit time per unit area) and surface gas density

 $\Sigma_{SFR} \propto \Sigma_{gas}^{1.4\pm0.15}$ 



#### **Dynamical time**

 $\tau_{\rm dyn} = (3\pi / 16 G \rho)^{1/2}$ 

Represents the time it would take a star to collapse if the pressure supporting it against gravity were suddenly removed.

We introduce the parameter *c*: efficiency of star formation  $c\sim0.05$ 

 $\dot{\rho}_{\star} = \frac{\rho}{c} \frac{\rho}{\tau_{\rm dyr}}$ 

he dark matter halo, it reaches nting and forming stars



- Feedback: a chain of cause-and-effect that forms a closed loop
- Different feedback processes act in galaxies. The most significant feedback in galaxies like the Milky Way is that produced by stellar evolution
  - e.g.: Supernova explosions, mass ejection by stars in the AGB phase
- There are other forms of feedback that are dominant in other regimes:
  - AGN feedback: dominant in massive galaxies and galaxy clusters: release significant amounts of energy in the form of radiation/mechanical flows
  - Cosmic ray feedback, radiation-pressure feedback,...

- Type II Supernova explosions
  - massive stars (8M $_{\odot}$ <M<100M $_{\odot}$ ), short-lived (10<sup>6</sup> yr) that end their lives violently
  - eject significant amounts of energy: ~10<sup>51</sup> erg per explosion
  - Eject chemical elements (mainly α–elements such as O and Mg)
- Type la Supernova
  - Low-mass stars/binaries (M<1.4 $M_{\odot}$ ), long-lived (10<sup>8-10</sup>yr)
  - Main contibutor of Fe
- AGB stars
  - Low and intermediate-mass stars ( $0.6M_{\odot} < M < 10M_{\odot}$ )
  - Experience significant mass loss, eject chemical elements in winds
  - Main contributors of C, N

Ingredients of chemical enrichment modeling

• Initial Mass function: fractional contribution of stars of different mass in a stellar population



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- Rates of occurrence of SNII, SNIa and AGB stars
  - SNIa rate not well known!



Ingredients of chemical enrichment modeling

- Initial Mass function: fractional contribution of stars of different mass in a stellar population
- Typical life-times of progenitor stars, which determine the time of chemical/energy release
- Rates of occurrence of SNII, SNIa and AGB stars
  - SNIa rate not well known!
- Chemical yields: amount of each chemical element ejected into the interstellar medium


#### Ingredients of feedback modeling

- Energy feedback comes primarily from SNII explosions
   Initial Mass function: fractional contribution of stars of different mass in a stellar population
- The total energy produced by all SNII in a star particle is easily calculated from the number of "massive stars" in it.
- If we have "N" exploding stars in a star particle of mass m
   → The energy released is simply Nx10<sup>51</sup>erg
- The energy is released when the stars explode: given by lifetime of progenitor stars
- Where/how do we inject the energy?
  - This is very hard to implement in simulations
  - Thermal feedback vs kinetic feedback

# Energy feedback

#### <u>Thermal feedback</u> Add heat to the gas

Have a cold gas cloud and a star forms we heat the gas around it

as the cooling is very efficient for cold gas, the energy is lost

Two turnarounds:

 $\rightarrow$  don't give energy immediately, but wait until a large number of SN have exploded. At some point the energy is high enough so that it does not cool (Scannapieco+2006)

→ Don't allow particles that received energy to cool for a given time (Stinson +2006)

Thermal feedback

Add heat to the gas

Kinetic feedback Move gas around





A star forms in a gas cloud

give a velocity kick to surrounding gas

Thermal feedback

Add heat to the gas

Kinetic feedback Move gas around

Either way, we need to give input parameters, that are not known!

A characteristic radius of the energy injection

For thermal feedback models:

- time scale in which we shut off the cooling

For kinetic feedback models:

- velocity and direction of the "outflows"

Thermal feedback

Add heat to the gas

Kinetic feedback Move gas around

Remember, we are trying to mimic the effects of feedback at the scales that are resolved in simulations



# Radiation-pressure feedback

- Radiation-pressure (RP) feedback: massive, short-lived stars provide additional input of momentum and energy in the form of stellar winds and radiation pressure prior to their explosion as SNII
  - No yet consensus on the effects of RP feedback in the surrounding ISM, at the scales resolved in simulations
- Supernova feedback is dominant in Milky Way-mass and smaller galaxies
- Other forms of feedback dominant in more massive galaxies, e.g. black hole feedback

#### Numerical simulations @ smaller scales

#### Formation of a massive star cluster (by M. Krumholz)



#### A Supernova explosion (NASA)



#### Interstellar medium (by C. Simpson)



Note: much of the baryonic physics involved in galaxy formation is not fully known!

- How stars of different mass form
- How much mass in chemical elements are formed in stars, how much is ejected
- Physics of the interstellar medium?

#### Simulations: clues to galaxy formation

**Results of Simulations** 

- The formation of Spiral galaxies
- Galaxy evolution & merger history
- Environmental effects

But before, let's "see" how do the dark matter halos look like!

#### The Navarro-Frenk-White (NFW) profile:

#### A UNIVERSAL DENSITY PROFILE FROM HIERARCHICAL CLUSTERING

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#### ABSTRACT

We use high-resolution N-body simulations to study the equilibrium density profiles of dark matter halos in hierarchically clustering universes. We find that all such profiles have the same shape, independent of the halo mass, the initial density fluctuation spectrum, and the values of the cosmological parameters. Spherically averaged equilibrium profiles are well fitted over two decades in radius by a simple formula originally proposed to describe the structure of galaxy clusters in a cold dark matter universe. In any particular cosmology, the two scale parameters of the fit, the halo mass and its characteristic density, are strongly correlated. Low-mass halos are significantly denser than more massive systems, a correlation that reflects the higher collapse redshift of small halos. The characteristic density of an equilibrium halo is proportional to the density of the universe at the time it was assembled. A suitable definition of this assembly time allows the same proportionality constant to be used for all the cosmologies that we have tested. We compare our results with previous work on halo density profiles and show that there is good agreement. We also provide a step-by-step analytic procedure, based on the Press-Schechter formalism, that allows accurate equilibrium profiles to be calculated as a function of mass in any hierarchical model.

Subject headings: cosmology: theory — dark matter — galaxies: halos — methods: numerical

#### The Navarro-Frenk-White (NFW) profile:



The density profiles of haloes can always be fitted by a universal fitting function:

$$= \frac{r}{\frac{r}{R_s} \left(1 + \frac{r}{R_s}\right)^2}$$



The Navarro-Frenk-White (NFW) profile:



Is this a problem for ACDM models?

#### The Navarro-Frenk-White (NFW) profile:



The density profiles of dark matter halos are affected by the presence of baryons!

Tissera+ 2010

# The formation of galaxy disks

**Standard Model of Disk Formation** Fall & Efstathiou 1980; Mo, Mao & White 1998

Key "assumptions"

- Haloes get initial angular momentum from tidal torques, result of the asymmetries in the mass distribution at early times: cosmological torques
- Gas and dark matter acquire identical specific angular momentum (i.e. angular momentum per mass unit)
- Baryons conserve their angular momentum while cooling

# The formation of galaxy disks

**Standard Model of Disk Formation** Fall & Efstathiou 1980; Mo, Mao & White 1998

Key "assumptions"



- Haloes obtain angular momentum at early times due to asymmetries in the mass distribution
- Provided angular momentum is conserved, gas collapses into dark matter haloes and settles into a disk-like configuration
  - Stars form in the gas disks, forming stellar disks





Stars form in the gas disks, forming stellar disks

Disks are unstable against rapid changes in the potential which occur during mergers/interactions/accretion



Mergers and interactions significantly affect a galaxy's morphology:

- Turn disks into spheroids
- Induce internal instabilities and the formation of galactic bars





Mergers and interactions significantly affect a galaxy's morphology:

In Λ-CDM models, the merger rates decreases with decreasing redshift

- Turn disks into spheroids
- Induce internal instabilities and the formation of galactic bars

→ The stellar disks we see today were formed late, so they could survive until the present time

#### The merger of two galaxies (no cosmology)



**Phil Hopkins** 

#### The merger of two galaxies (no cosmology)



#### **Phil Hopkins**

The stellar disks we see today were formed late, so they could survive until the present time

Galaxies with massive disks do not experience major mergers during most of their lives

→ Select a galaxy with quiet merger history
→ Run a simulation including relevant physics

- $\rightarrow$  Cosmological
- ightarrow Include hydrodynamics, cooling and star formation

1990s/2000s

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At z=0, the galaxy does not look like a disk galaxy!

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1990s/2000s

Dynamical decomposition helps us quantify disk/bulge contributions

 $\varepsilon = j_z / j_{circ}$ 

 $j_z$  = angular momentum of a star

J<sub>circ</sub> = angular momentum expected for a circular orbit (i.e. disk-like)

→ Select a galaxy with quiet merger history
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- ightarrow Include hydrodynamics, cooling and star formation
- $\rightarrow$  Include more physics... but which?



Look at the star formation rate of the galaxy with cooling and star formation

2005/2010

SFR peaks at very early times – very little star formation during the last ~6 Gyr

If disks form late, we need high SFRs at late times  $\rightarrow$  need a physical process which regulates SFR early and shifts it to later times

→ Select a galaxy with quiet merger history
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How do we shift the SFR to later times?

Star formation (SF) fuel is cold, dense gas

→ SF regulation if the cold, dense gas mass decreases

2005/2010

- $\rightarrow$  Heat up the gas
- → Move it from the disks (densest regions)

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Feedback A chain of cause-and-effect that forms a closed loop

Stellar feedback, in particular from SNII:

- originated in massive, short-lived stars
  - → Prompt response after SF
  - → Eject large amounts of energy, and provide heat and pressure → winds

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2005/2010

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- If Supernova Feedback is included in simulations, stellar disks can form and survive in cosmological simulations.
- The circularity distribution reveals that the galaxy has a disk-like component, also a spheroid or bulge.



We can use kinematic decompositions and divide the stellar mass into bulge and disk components, to study their properties



Feedback helps regulate star formation, disks form late and can conserve a high fraction of their angular momentum



#### Scannapieco+ 2008

Evolution of radius and angular momentum in a bulge-dominated and a disk-dominated galaxy After the time of maximum expansion, the bulge-dominated galaxy loses a significant fraction of its initial angular momentum



## Morphologies and formation histories

What about galaxy diversity?

- We take a "large" number of galaxies, of similar mass
- A variety of merger histories



Simulations predict morphology diversity

#### Morphologies and formation histories

> What about galaxy diversity?

- > We take a "large" number of galaxies, of similar mass
- A variety of merger histories



Even if all galaxies have a similar mass, they have different relative contributions of bulge and disk

#### Morphologies and formation histories

- > What about galaxy diversity?
  - > We take a "large" number of galaxies, of similar mass
  - A variety of merger histories

#### All disks form late!



#### Mergers: effects on morphologies

Mergers significantly affect galaxies, particularly their morphologies

Mergers can not explain the evolution of morphology!

Galaxies with little/no disks at z=0

Galaxies with significant disks at z=0


#### Gas accretion: effects on morphology

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#### Gas accretion & morphologies

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Galaxies with significant disks at z=0



#### Gas accretion & morphologies

Trace back all gas particles that ended up in a galaxy at z=0. Take circles enclosing 20, 50 and 95% of total mass. Calculate angular momentum enclosed in such regions.

Bulge-dominated galaxy @z=0

#### Disk-dominated galaxy @z=0



Large sample of simulated galaxies (N=100)

Sales+2012

#### Gas accretion & morphologies

#### $K_{rot}$ : fraction of kinetic energy invested in ordered motion



On average, the accretion of gas in galaxies that are disk-dominated at the present time was much more coherent than in z=0 bulge-dominated galaxies Sales+2012

## Summary of disk formation

Conditions for disk formation

- Conservation of angular momentum
- Quiet merger histories, at least in the last ~8Gyr
- Coherent accretion, so that gas and stellar disks are aligned at all times

#### The formation of Spiral galaxies

→ Select a galaxy with quiet merger history
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- $\rightarrow$  Cosmological
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→ SN feedback



+ Radiative feedback"Radiation-pressure feedback"

V

2010

Originated in massive, short-lived stars provide additional input of momentum and energy in the form of stellar winds and radiation pressure **prior to their explosion as SNII** 

#### Radiation-pressure feedback

Simulations including radiation-pressure feedback seem to have significant effect, but large code-to-code variations



## Disk formation simulations

Current state-of-the-art simulations including supernova feedback reproduce the formation of stellar disks in cosmological simulations (only some of them include radiation-pressure feedback as well!)



#### Disk formation simulations

It is now possible to run very large hydrodynamical simulations, allowing statistical studies of simulated galaxies

B-37	B-31	B-25	D-19	B-13	B-7	No.
B-38	B-32	B-26	B-20	B-14	B-B	B2
B-39	B-33	B-27	B-21	B-15	B-9	B3
B-40	B-34	B 28	B-22	B-16	B-10	B.4
B-41	B-35	B-29	B-23	B-17	B-11	B-5
B-42	B-36	B-30	B-24	B-18	B-12	<b>B</b> 6

The Illustris Simulation (also EAGLE, Horizon, Auriga, ...)

#### Constrained Local UniversE simulations: CLUES

https://www.clues-project.org/cms/

- The Milky Way lives in the "Local Group"
- Massive companion: the Andromeda spiral galaxy
- Local Group is in an overdense region of the Universe, close to a large galaxy cluster



- Constrained Local UniversE simulations: CLUES
- Identify MW & M31 candidates



Do the simulated galaxies in this richer environment behave similarly compared to galaxies in more isolated systems?



Scannapieco+2015

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Scannapieco+2015

Do the simulated galaxies in this richer environment behave similarly compared to galaxies in more isolated systems?



Scannapieco+2015

Do the simulated galaxies in this richer environment behave similarly compared to galaxies in more isolated systems?

In terms of the morphologies, these galaxies behave similarly to galaxies in more isolated systems

→ Sensitive to massive mergers and misaligned gas accretion



Simulation: C. Scannapieco, Visualization: A. Khalatyan

• Environmental effects: higher SFRs in richer environments (?)



Creasey+2015 (see also Few+2012, Sawala+2016)



# Summary

- > Hydrodynamical simulations are a powerful tool to study galaxy formation and evolution, and to understand links to the formation of structure at large scales
- Despite there are still many uncertainties (incomplete knowledge of underlying physical processes, sub-grid physics, numerical issues), they allow to identify key processes to the formation of galaxies
  - Supernova feedback is a fundamental process whose main effects are the selfregulation of star formation and the generation of galactic winds
  - Other forms of feedback can also be important (e.g. radiation-pressure, black holes for massive systems)
- Simulations provide clues to disk formation process:
  - z=0 disks are young
  - Disks are transient
  - > Disks can be rebuilt during evolution
  - > Angular momentum of accreted gas & mergers are key to disk survival
- Indications that environmental effects might be relevant